



**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

216-429P

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

NEW

INTERNATIONAL APPLICATION NO.

PCT/JP99/02304

INTERNATIONAL FILING DATE

April 28, 1999

PRIORITY DATE CLAIMED

April 28, 1998

TITLE OF INVENTION

DRIED POROUS CRUMBS OF HYDROGENATED BLOCK COPOLYMER

APPLICANT(S) FOR DO/EO/US

YAMAKOSHI, Yasumasa; SHIRAKI, Toshinori; KAMAYA, Masami

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(3)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98./-1449 and International Search Report (PCT/ISA/210)
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - 1.) Verification of Translation of Request Form and Specification-One (1) sheet
 - 2.) PCT Request Form (PCT/RO/101)
 - 3.) Three (3) sheets of Formal Drawings

U.S. APPLICATION NO (if known, see 37 CFR 1.5) NEW		INTERNATIONAL APPLICATION NO PCT/JP99/02304		ATTORNEY'S DOCKET NUMBER 216-429P	
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17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):
 Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
 and International Search Report not prepared by the EPO or JPO. \$970.00

International preliminary examination fee (37 CFR 1.482) not paid to
 USPTO but International Search Report prepared by the EPO or JPO. \$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
 but international search fee (37 CFR 1.445(a)(2)) paid to USPTO. \$760.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
 but all claims did not satisfy provisions of PCT Article 33(1)-(4). \$670.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
 and all claims satisfied provisions of PCT Article 33(1)-(4). \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total Claims	9 - 20 =	0	X \$18.00
Independent Claims	2 - 3 =	0	X \$78.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable) Yes			+ \$260.00
TOTAL OF ABOVE CALCULATIONS =			
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).			
SUBTOTAL =			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).			+
TOTAL NATIONAL FEE =			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property			+
TOTAL FEES ENCLOSED =			

Amount to be:
refunded

charged

CALCULATIONS

PTO USE ONLY

a. ☒ A check in the amount of \$ **1140.00** to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account. No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
 overpayment to Deposit Account No. 02-2448.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Send all correspondence to:
 Birch, Stewart, Kolasch & Birch, LLP or Customer No. 2292
 P.O. Box 747
 Falls Church, VA 22040-0747
 (703)205-8000

SIGNATURE

STEWART, RAYMOND C.
 NAME

21,066
 REGISTRATION NUMBER

/dl December 20, 1999

DECLARATION

I, Maho KASEKI, c/o the Inoue & Associates of 3rd Floor, Akasaka Habitation Building, 3-5, Akasaka 1-chome, Minato-ku, Tokyo, Japan do solemnly and sincerely declare that I am conversant with the Japanese and English languages and that I have executed with the best of my ability this translation into English of the PCT Request and specification of international application No. PCT/JP99/02304, and believe that the translation is true and correct.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

December 3, 1999
(Date)

Maho Kaseki
Maho KASEKI

PCT/JP99/152384
12-20-99

Papers Filed herewith on:
DOCKET NO.: 216-4590
ATTY.: RCS
APPLICANT(S): YAMAKOSHI, et al
USCN: 1000 FILED: 12-20-99
PAT NO.: 09/446314

☒ New Application with Transmittal Letter
☐ Utility ☐ Design ☐ CIP ☒ PCT ☐ Provisional
☐ Filing Under 37 CFR 1.53(b) ☐ CONT ☐ DIV
☐ Filing Under 37 CFR 1.53(d) (CPA)
☒ Specification Consisting of: 113 pages
☒ Combined Declaration & Power of Attorney
☒ Assignment / Cover Letter
☐ Letter to Official Draftsman
☒ Drawings: 3 Sheets ☒ Formal ☐ Informal ☐ Red-Ink
☐ Completion of Filing Requirements, PCT/DO/EO/905
☐ or PTO-1533 and Executed Declaration
☐ Priority Document(s) / Cover Letter, No. Doc.
☒ Amendment: Preliminary
☐ Transmtl Ltr ☐ Large Entity ☐ Small Entity
☐ Response
☒ Information Disc Stmt. PTO-1449(s) 8 ref(s)
☐ Notice of Appeal ☐ Appeal Brief
☒ Issue Fee Transmittal
 FEES: \$1,190.00 H 5018552
☐ Letter
☒ Other: Description of Translation - 1 sheet
 PCT/ISA/210
 PCT/EO/101

520 Rec'd PCT/PTO 20 DEC 1999
DOCKET NO. 216-4590

Receipt is hereby acknowledged of the papers filed as indicated in connection with the above identified case.
COMMISSIONER OF PATENTS AND TRADEMARKS
Due Date: 12-20-99 PD 12-28-99
Handcarry: 300870

BEST AVAILABLE COPY